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August 17, 2007

Re: Traderight and Enterprise Trust Co.

Dear Client,

Because so many of you have been calling to ask about my status, I decided to send a letter to everyone concerned about me.

As you know, last December 20, 2006, Advisory Financial Consultants, Inc. (Advisory) transferred its chient accounts to Lock Haven, LLC. Locke Haven is a partnership belween Traderight, a broker-dealer located in Illinois and Enterprise Trust Co. (Enterprise). At that time, I became registered with Traderight, moved my own accounts and those of my children to Traderight.

This is to inform you that I have resigned my association with Traderight and Enterprise. I am in the process of joining a new firm and moving my own accounts and the accounts of my family to that firm.

As part of the above transfer, I signed a document on Enterprise letterhead entitled Private Portfolio Investment Agency Agreement (Agreement). As part of the transfer, you may have signed the same Agreement will Enterprise.

I have consulted with a securities lawyer regarding the Agreement. I have been advised that this Agreement gives Enterprise extensive authority to make investment decisions for me without my prior approval. The Agreement also requires me to indemnify Enterprise under certain circumstances. I also have been advised other parts of the Agreement are highly disadvantageous. Bused upon my discussions with counsel, I concluded that I was not comfortable with the Agreement. Therefore, I sent a letter to Enterprise revoking the Agreement.

This letter is not a solicitation for you to leave or join any firm, but rather a response to your questions regarding my current status.

Sincerely,

Ruthe R. Homez Ruthe P. Gomez

## Advisory Financial Consultants, Inc. 505 DURHAM ROAD - FREMONT, CALIFORNIA \$1536-6688 - (610) 658-1257

October 16, 2007

Dear Client:

I previously informed you that I had resigned my relationship with TradeRight Securities, Inc. in Lockport, Illinois. I further informed you that I had revoked the Advisory Agreement with Enterprise Trust Company in Oakbrook, Illinois.

Now, I am very pleased to inform you that I have joined AMERICAN INVESTORS COMPANY. The home office of AMERICAN INVESTORS COMPANY is located here in the San Francisco Bay Atea at 2682 Bishop Drive, Suite 123, San Ramon, CA 94583. AMERICAN INVESTORS COMPANY has been in business for over 35 years and enjoys a well deserved reputation for outstanding service.

I am glad to be back doing what I enjoy and what I have always done - servicing my clients and devoting myself to the achievement of each client's financial success.

Please allow me this opportunity to thank each of you for your patience, loyalty and understanding.

I am still available at (510) 656-1357, the same number you have called me in the past.

Kind Regards,

RUTHE P. GOMEZ

Securities Offered Through: AMERICAN INVESTORS COMPANY, Member FINRA/SIPC





August 13, 2007

Ruthe P. Gomez 505 Durham Road Fremont. CA 94539

Den Ruthe,

You have indicated through your attorney that you would like your funds transferred in a timely manner, however our company does not have properly executed transfer documents that would enable us to complete this request. At this time, it is unclear to whom you would like to re-register or transfer your funds and you have not directed Enterprise Trust Company to transfer or re-register the funds.

In order to complete this process and expedite, please provide the following:

- An original signature from client on transfer paperwork provided by the new institution or fund family. A representative from their respective organizations could assist you with this.
- DTC instructions for Mulual Fund Re-registration that we can provide on all necessary forms to complete the transfer

Once these documents have been sent to us, we will expedite your transfer request.

Sincerely,

Rebecca Townsend Vice President & Trust Officer

Account Transfer

Case 4:08-cv-00266-WDB Document 1-12 Filed 01/15/200

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Financial Consultant see QN TRFACC on Interface for more details.